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PATENTS  
BUR920030053US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Seetharam Gundurao, Kenneth A. Lauricella,  
Clarence R. Ogilvie, Nishant Sharma,  
Richard N. Wilson

Serial No. : 10/605,405

Filed : September 29, 2003

For : ASYNCHRONOUS INTERFACE METHODS AND APPARATUS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 5,721,953, Fogg, Jr. et al.

U.S. Patent No. 4,839,534, Clasen

Foreign Art Reference No. WO 81/03729 (WIPO)

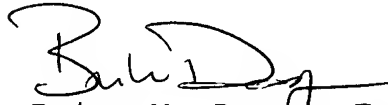
Foreign Art Reference No. JP 3163908 (Japan)

Foreign Art Reference No. JP 2119333 (Japan)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449) and copies are  
enclosed.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

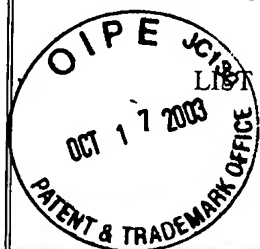
A handwritten signature in black ink, appearing to read "Brian M. Dugan", written over the typed name.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated:

10/17/03  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office



## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Docket No.:

BUR920030053US1

Serial No.:

10/605,405

Applicant(s):

Seetharam Gundurao et al.

Filing Date:

09/29/03

Group:

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	5,721,953	02/24/98	Fogg, Jr. et al.			
	AB	4,839,534	06/13/89	Clasen			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	AL	WO 81/03729	12/24/81	WIPO				
	AM	JP 3163908	07/15/91	Japan			ABSTRACT ONLY	
	AN	JP 2119333	07/05/90	Japan			ABSTRACT ONLY	
	AO							
	AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.



10-20-03

Docket No. BUR920030053US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Seetharam Gundurao, Kenneth A. Lauricella, Clarence R. Ogilvie, Nishant Sharma and Richard N. Wilson  
Title: ASYNCHRONOUS INTERFACE METHODS AND APPARATUS  
Serial No.: 10/605,405  
Filed: September 29, 2003

Transmitted herewith is:

- ☒ Information Disclosure Statement  
☒ PTO Form 1449 with five cited references  
☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:  
**Brian M. Dugan**  
**Dugan & Dugan, PC**  
**18 John Street**  
**Tarrytown, NY 10591**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date of Deposit: 10/17/03

Signature: [Signature]

Respectfully submitted,

[Signature]  
Brian M. Dugan  
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(914) 332-9081